

Nontrivial solutions of local and nonlocal Neumann boundary value problems

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Joint work with Paolamaria Pietramala and F. Adrián F. Tojo; arXiv:1404.1390

Topological and Variational Methods for ODEs

Dedicated to Massimo Furi Professor Emeritus at the University of Florence

Firenze, June 3-4, 2014

Introduction

We discuss the existence, localization, multiplicity and non-existence of nontrivial solutions of the second order differential equation

$$u''(t) + h(t, u(t)) = 0, \quad t \in (0, 1), \quad (1)$$

subject to (local) Neumann boundary conditions (BCs)

$$u'(0) = u'(1) = 0, \quad (2)$$

or to non-local BCs of Neumann type

$$u'(0) = \alpha[u], \quad u'(1) = \beta[u], \quad (3)$$

where $\alpha[\cdot]$, $\beta[\cdot]$ are linear functionals given by Stieltjes integrals, namely

$$\alpha[u] = \int_0^1 u(s) dA(s), \quad \beta[u] = \int_0^1 u(s) dB(s).$$

The existence of positive solutions of the local BVP (1)-(2) has been studied by Miciano and Shivaji in [33] and by Li and co-authors [31, 32]. Note that, since $\lambda = 0$ is an eigenvalue of the associated linear problem

$$u''(t) + \lambda u(t) = 0, \quad u'(0) = u'(1) = 0,$$

the correspondent Green's function does not exist. Therefore we use a shift argument similar to the ones used by Han [14], Torres [41] and Webb and Zima [51] for different BCs and we study two related BVPs for which the Green's function can be constructed, namely

$$-u'' - \omega^2 u = f(t, u) := h(t, u) - \omega^2 u, \quad u'(0) = u'(1) = 0, \quad (4)$$

and (with an abuse of notation)

$$-u'' + \omega^2 u = f(t, u) := h(t, u) + \omega^2 u, \quad u'(0) = u'(1) = 0. \quad (5)$$

The BVPs (4) and (5) have been recently object of interest by a number of authors [3, 7, 10, 38, 40, 42, 43, 44, 53, 54, 55, 56, 57]; We study the properties of the associated Green's functions and improve some estimates that occur in earlier papers.

The formulation of the nonlocal BCs in terms of linear functionals includes multi-point and integral conditions, namely

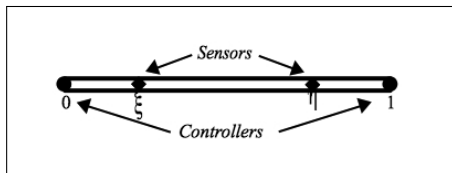
$$\alpha[u] = \sum_{j=1}^m \alpha_j u(\eta_j) \quad \text{or} \quad \alpha[u] = \int_0^1 \phi(s)u(s)ds.$$

The study of nonlocal multi-point BCs goes back to Picone (1908) [37] and has been developed in the years; we mention the work of Whyburn (1942) [52] on integral BCs, the more recent reviews by Ma [30] and Ntouyas [35] and the papers by Karakostas and Tsamatos [25, 26] and by Webb and GI [48].

One motivation for studying nonlocal problems is that they occur when modelling heat-flow problems. For example the BVP

$$u''(t) + h(t, u(t)) = 0, \quad u'(0) = \alpha u(\xi), \quad u'(1) = \beta u(\eta), \quad \xi, \eta \in [0, 1],$$

models a thermostat where two controllers at $t = 0$ and $t = 1$ add or remove heat according to the temperatures detected by two sensors at $t = \xi$ and $t = \eta$.



For some references this type of thermostat models see Cabada et al. [6], GI [16, 17], GI and Webb [23], Palamides et al. [27, 36] and Webb [45, 46, 47].

Our methodology is to build a general theory the existence of nontrivial solutions of the *perturbed* Hammerstein integral equation of the form

$$u(t) = \gamma(t)\alpha[u] + \delta(t)\beta[u] + \int_0^1 k(t,s)g(s)f(s, u(s)) ds := Tu(t), \quad (6)$$

by working in a cone of functions that are allowed to *change sign*.

This setting covers, as *special cases*, the BVP (1)-(3) and the BVP (1)-(2).

The approach that we use relies on classical fixed point index theory and we make use of ideas from the papers [6, 21, 48, 50].

The fixed point index

- What is the fixed point index of a compact map T ?
- Roughly speaking, is the algebraic count of the fixed points of T in a certain set.

The definition is rather technical and involves the knowledge of the *Leray-Schauder degree*.

- Usually the best candidate for a set on which to compute the fixed point index is a *cone*.

A cone K in a Banach space X , is a closed, convex set such that $\lambda x \in K$ for $x \in K$ and $\lambda \geq 0$ and $K \cap (-K) = \{0\}$.

More details on the fixed point index can be found in the review of Amann [1] and in the book of Guo and Lakshmikantham [13].

Properties of the fixed point index

- Let D be an open bounded set of X with $D_K \neq \emptyset$ and $\overline{D}_K \neq K$, where $D_K = D \cap K$.

Assume that $T : \overline{D}_K \rightarrow K$ is a compact map such that $x \neq Tx$ for $x \in \partial D_K$.

Then the fixed point index $i_K(T, D_K)$ has the following properties:

- (1) If there exists $e \in K \setminus \{0\}$ such that $x \neq Tx + \lambda e$ for all $x \in \partial D_K$ and all $\lambda > 0$, then $i_K(T, D_K) = 0$.
- (2) If $Tx \neq \lambda x$ for all $x \in \partial D_K$ and all $\lambda > 1$, then $i_K(T, D_K) = 1$.

The Leray-Schauder condition in (2) holds, for example, if $\|Tx\| \leq \|x\|$ for $x \in \partial D_K$.

Main assumptions I

- $k : [0, 1] \times [0, 1] \rightarrow \mathbb{R}$ is measurable, and for every $\tau \in [0, 1]$ we have

$$\lim_{t \rightarrow \tau} |k(t, s) - k(\tau, s)| = 0 \text{ for almost every } s \in [0, 1].$$

- There exist $[a, b] \subseteq [0, 1]$, $\Phi \in L^\infty[0, 1]$, and $c_1 \in (0, 1]$ such that

$$|k(t, s)| \leq \Phi(s) \text{ for } t \in [0, 1] \text{ and almost every } s \in [0, 1],$$
$$k(t, s) \geq c_1 \Phi(s) \text{ for } t \in [a, b] \text{ and almost every } s \in [0, 1].$$

- $g \Phi \in L^1[0, 1]$, $g(s) \geq 0$ for almost every $s \in [0, 1]$, and $\int_a^b \Phi(s)g(s) ds > 0$.

Main assumptions II

- $f : [0, 1] \times (-\infty, \infty) \rightarrow [0, \infty)$ satisfies Carathéodory conditions, that is, $f(\cdot, u)$ is measurable for each fixed $u \in (-\infty, \infty)$, $f(t, \cdot)$ is continuous for almost every $t \in [0, 1]$, and for each $r > 0$, there exists $\phi_r \in L^\infty[0, 1]$ such that
$$f(t, u) \leq \phi_r(t) \text{ for all } u \in [-r, r], \text{ and almost every } t \in [0, 1].$$
- A, B are of bounded variation functions and $\mathcal{K}_A(s), \mathcal{K}_B(s) \geq 0$ for almost every $s \in [0, 1]$, where

$$\mathcal{K}_A(s) := \int_0^1 k(t, s) dA(t) \text{ and } \mathcal{K}_B(s) := \int_0^1 k(t, s) dB(t).$$

Main assumptions III

- $\gamma \in C[0, 1]$, $0 \leq \alpha[\gamma] < 1$, $\beta[\gamma] \geq 0$.
 There exists $c_2 \in (0, 1]$ such that $\gamma(t) \geq c_2 \|\gamma\|$ for $t \in [a, b]$.
- $\delta \in C[0, 1]$, $0 \leq \beta[\delta] < 1$, $\alpha[\delta] \geq 0$.
 There exists $c_3 \in (0, 1]$ such that $\delta(t) \geq c_3 \|\delta\|$ for $t \in [a, b]$.
- $D := (1 - \alpha[\gamma])(1 - \beta[\delta]) - \alpha[\delta]\beta[\gamma] > 0$.

The assumptions above allow us to work in the cone

$$K := \{u \in C[0, 1] : \min_{t \in [a, b]} u(t) \geq c \|u\|, \alpha[u], \beta[u] \geq 0\},$$

where $c = \min\{c_1, c_2, c_3\}$.

Cone invariance and bounded sets

Under the assumptions above the the operator T maps K into K and is compact.

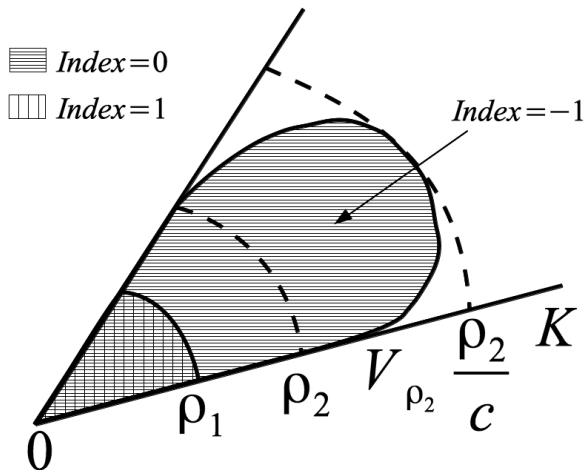
We use the following open bounded sets (relative to K):

$$K_\rho := \{u \in K : \|u\| < \rho\},$$

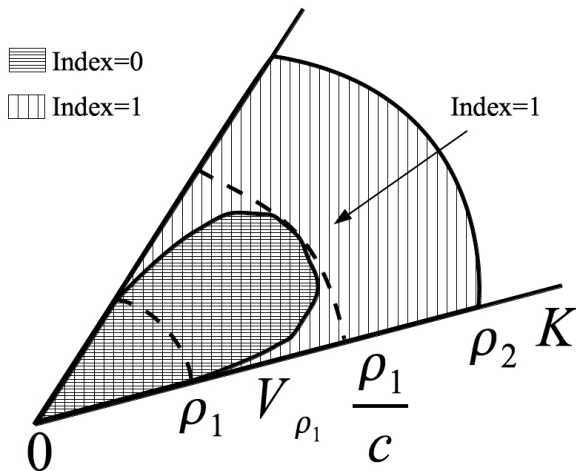
$$V_\rho := \{u \in K : \min_{t \in [a,b]} u(t) < \rho\}.$$

Note that $K_\rho \subset V_\rho \subset K_{\rho/c}$.

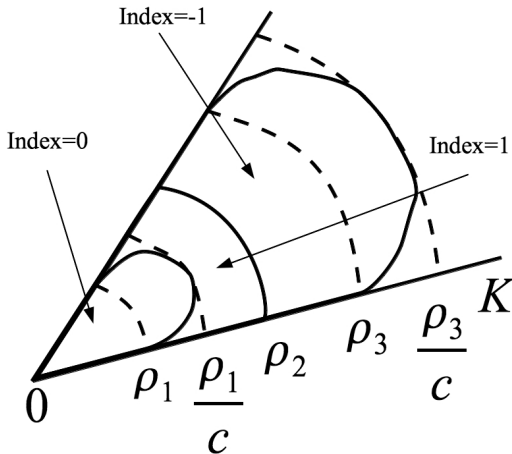
One nontrivial solution



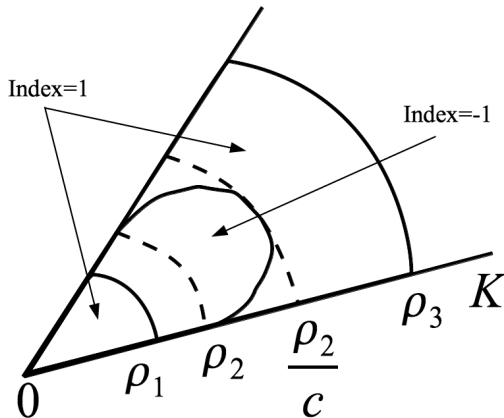
One nontrivial solution



Two nontrivial solutions



Two nontrivial solutions



Index calculations I

Assume that there exists $\rho > 0$ such that

$$f^{-\rho, \rho} \left[\left(\frac{\|\gamma\|}{D} (1 - \beta[\delta]) + \frac{\|\delta\|}{D} \beta[\gamma] \right) \int_0^1 \mathcal{K}_A(s) g(s) ds \right. \\ \left. + \left(\frac{\|\gamma\|}{D} \alpha[\delta] + \frac{\|\delta\|}{D} (1 - \alpha[\gamma]) \right) \int_0^1 \mathcal{K}_B(s) g(s) ds + \frac{1}{m} \right] < 1.$$

where

$$f^{-\rho, \rho} := \sup \left\{ \frac{f(t, u)}{\rho} : (t, u) \in [0, 1] \times [-\rho, \rho] \right\},$$

$$\frac{1}{m} := \sup_{t \in [0, 1]} \left\{ \max \left\{ \int_0^1 k^+(t, s) g(s) ds, \int_0^1 k^-(t, s) g(s) ds \right\} \right\}.$$

Then $i_K(T, K_\rho) = 1$.

Index calculations II

Assume that there exist $\rho > 0$ such that

$$f_{\rho, \rho/c} \left(\left(\frac{c_2 \|\gamma\|}{D} (1 - \beta[\delta]) + \frac{c_3 \|\delta\|}{D} \beta[\gamma] \right) \int_a^b \mathcal{K}_A(s) g(s) ds \right. \\ \left. + \left(\left(\frac{c_2 \|\gamma\|}{D} \alpha[\delta] + \frac{c_3 \|\delta\|}{D} (1 - \alpha[\gamma]) \right) \int_a^b \mathcal{K}_B(s) g(s) ds + \frac{1}{M(a, b)} \right) \right) > 1, \quad (7)$$

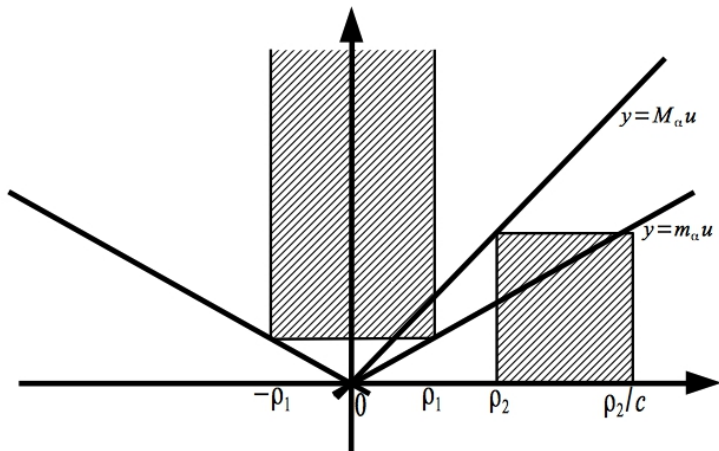
where

$$f_{\rho, \rho/c} := \inf \left\{ \frac{f(t, u)}{\rho} : (t, u) \in [a, b] \times [\rho, \rho/c] \right\},$$

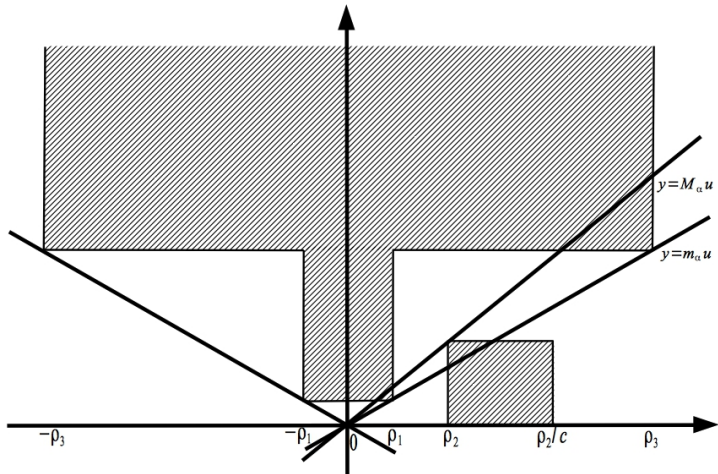
$$\frac{1}{M(a, b)} := \inf_{t \in [a, b]} \int_a^b k(t, s) g(s) ds.$$

Then $i_K(T, V_\rho) = 0$.

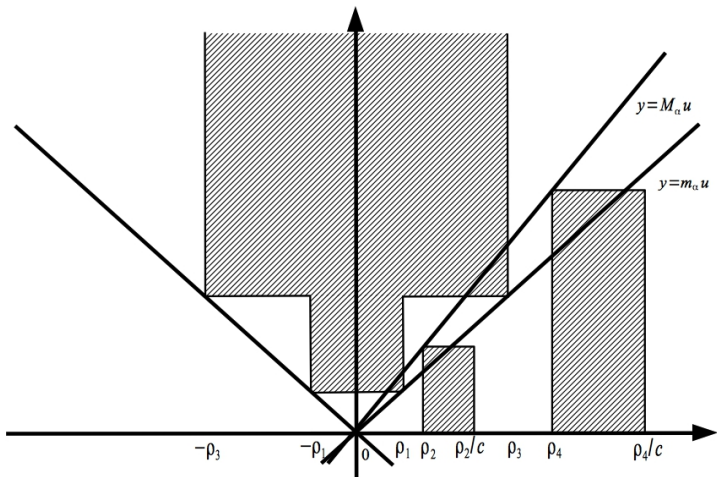
One solution



Two solutions



Three solutions



An auxiliary operator

We now consider the auxiliary Hammerstein integral equation

$$u(t) = \int_0^1 k_S(t, s)g(s)f(s, u(s))ds := Su(t), \quad (8)$$

where the kernel k_S is given by the formula

$$k_S(t, s) = \frac{\gamma(t)}{D} [(1 - \beta[\delta])\mathcal{K}_A(s) + \alpha[\delta]\mathcal{K}_B(s)] \\ + \frac{\delta(t)}{D} [\beta[\gamma]\mathcal{K}_A(s) + (1 - \alpha[\gamma])\mathcal{K}_B(s)] + k(t, s). \quad (9)$$

The operator S shares a number of useful properties with T : the cone invariance, the compactness and the same fixed points in K .

A non-existence result

Assume that one of the following conditions holds:

(1) $f(t, u) < m_S |u|$ for every $t \in [0, 1]$ and $u \in \mathbb{R} \setminus \{0\}$, where

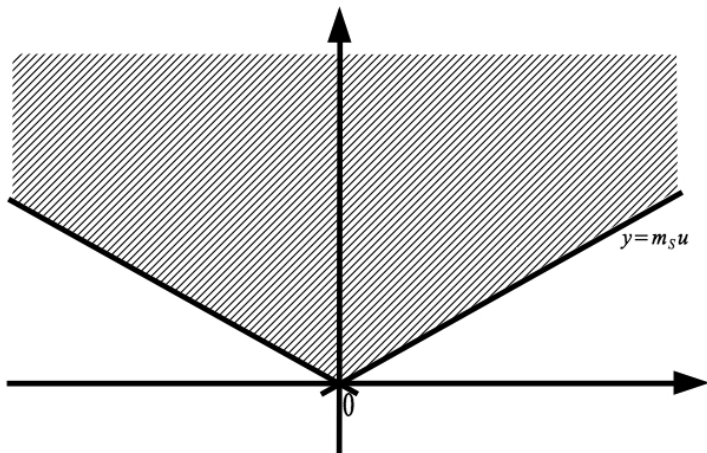
$$\frac{1}{m_S} := \sup_{t \in [0, 1]} \left\{ \max \left\{ \int_0^1 k_S^+(t, s) g(s) ds, \int_0^1 k_S^-(t, s) g(s) ds \right\} \right\},$$

(2) $f(t, u) > M_S u$ for every $t \in [a, b]$ and $u \in \mathbb{R}^+$, where

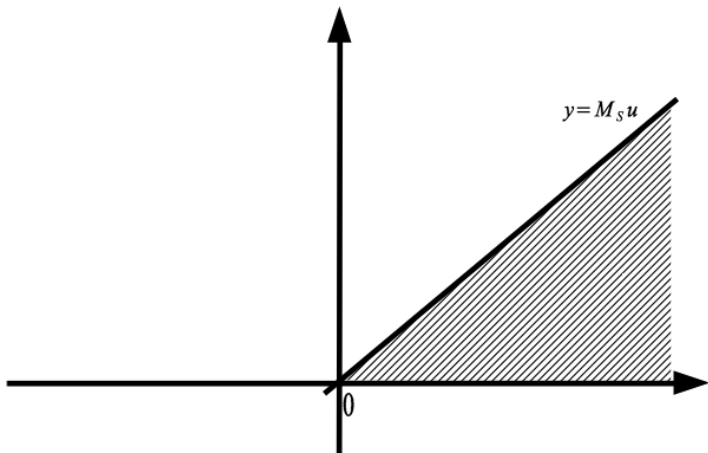
$$\frac{1}{M_S(a, b)} = \frac{1}{M_S} := \inf_{t \in [a, b]} \int_a^b k_S(t, s) g(s) ds.$$

Then the equations (6) and (8) have no non-trivial solution in K .

No solutions in K , condition (1)



No solutions in K , condition (2)



Consider the BVP

$$-u''(t) + u(t) = \lambda te^{u(t)}, t \in [0, 1], \quad u'(0) = u'(1) = 0. \quad (10)$$

In [3] Bonanno and Pizzimenti establish the existence of at least one positive solution such that $\|u\| < 2$ for $\lambda \in (0, 2e^{-2})$.

The BVP (10) is equivalent to the integral equation

$$u(t) = \int_0^1 k(t, s)g(s)f(u(s))ds,$$

where

$$g(s) = s, \quad f(u) = \lambda e^u$$

and

$$k(t, s) := \frac{1}{\sinh(1)} \begin{cases} \cosh(1-t) \cosh s, & 0 \leq s \leq t \leq 1, \\ \cosh(1-s) \cosh t, & 0 \leq t \leq s \leq 1. \end{cases}$$

The kernel k is positive, we can take $[a, b] = [0, 1]$ and work in the cone

$$K = \{u \in C[0, 1] : \min_{t \in [0, 1]} u(t) \geq c \|u\|\},$$

where

$$c = c(0, 1) = 1 / \cosh 1 = 0.648.$$

In this case

$$m = \frac{e + 1}{2} = 1.859, \quad M(0, 1) = \frac{e + 1}{e - 1} = 2.163,$$
$$f^{-\rho, \rho} = f_{\rho, \rho/c} = \lambda e^{\rho} / \rho.$$

Taking $\rho_2 = 2$ we have that the index is 1 on K_{ρ_2} for $\lambda < (e + 1)e^{-2}$, and taking $0 < \rho_1 < c/2$ we have that the index is 0 on V_{ρ_1} for $\lambda > [(e + 1)/(e - 1)]\rho_1 e^{-\rho_1}$.

Hence there exists a positive solution of norm less than 2 whenever

$$\lambda \in \left(0, \frac{e+1}{e^2}\right) \supset (0, 2e^{-2}).$$






Reasoning as in [24], when $\lambda = 1/4$ the choice of $\rho_2 = 0.16$ and $\rho_1 = 0.1$ gives the following localization for the solution






$$0.064 \leq u(t) \leq 0.16, \quad t \in [0, 1].$$







Furthermore, for





$$\lambda > \frac{e+1}{e(e-1)},$$


there are no solutions in K (the trivial solution does not satisfy the differential equation). Note that $T : P \rightarrow K$; this shows that there are no positive solutions for the BVP (10).


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Best wishes Massimo!