

Successive radii of convex bodies

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(joint work with Bernardo González)

Universidad de Murcia

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- \mathcal{K}^n = convex bodies (compact convex sets) in \mathbb{R}^n .

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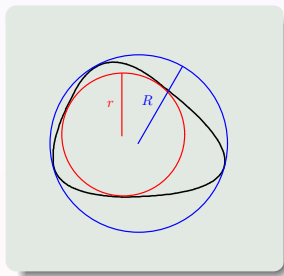
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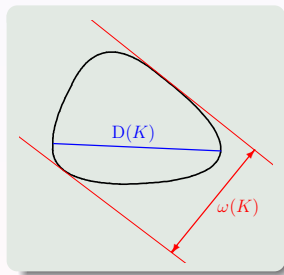
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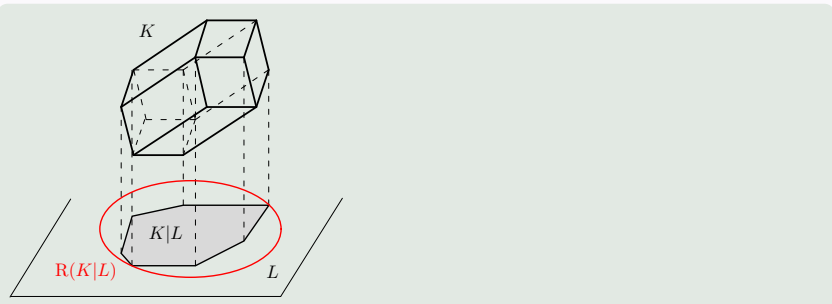
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- L^\perp = the orthogonal complement of $L \in \mathcal{L}_i^n$.
- $K|L$ = the orthogonal projection of $K \in \mathcal{K}^n$ onto $L \in \mathcal{L}_i^n$.

Successive inner and outer radii (projections/sections)

Definition: Successive outer and inner radii

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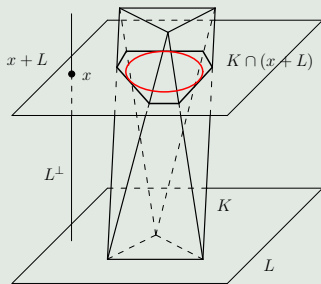
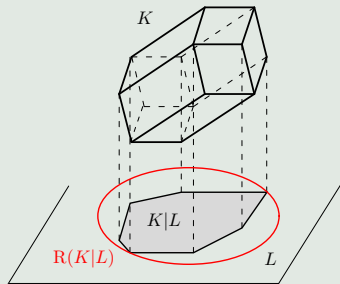


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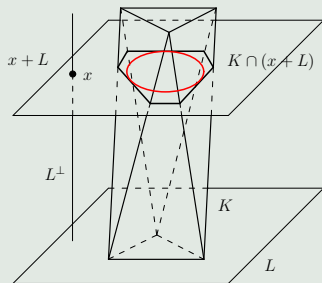
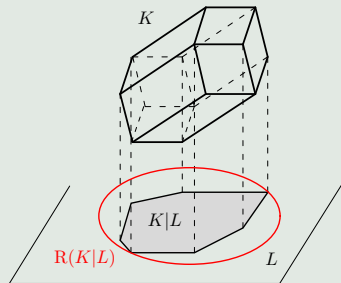
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Some properties:

$$\frac{\omega(K)}{2} = R_1(K) \leq \dots \leq R_n(K) = R(K)$$

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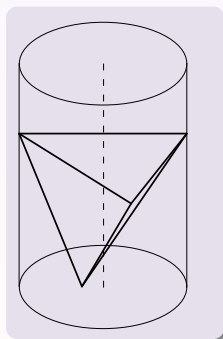
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Successive outer and inner radii: geometrical interpretation

$$R_i(K) = \min_{L \in \mathcal{L}_i^n} R(K|L) \quad r_i(K) = \max_{L \in \mathcal{L}_i^n} \max_{x \in L^\perp} r(K \cap (x + L); x + L)$$

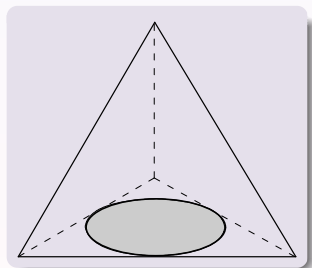
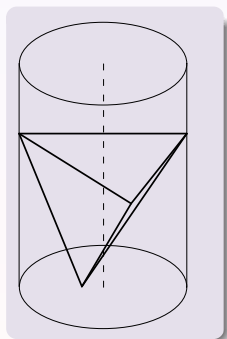
- $R_i(K)$ = smallest radius of the solid cylinder $\supset K$ with i -dimensional spherical cross section and $(n - i)$ -dimensional generator.



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- $R_i(K)$ = smallest radius of the solid cylinder $\supset K$ with i -dimensional spherical cross section and $(n - i)$ -dimensional generator.
- $r_i(K)$ = radius of the greatest i -dimensional ball contained in K .



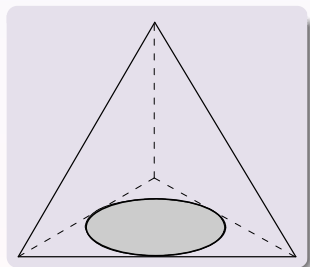
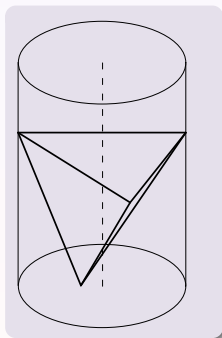
Successive outer and inner radii: geometrical interpretation



Ball, K.: Ellipsoids of maximal volume in convex bodies, *Geom. Dedicata* **41** (1992)

Theorem (Ball, 1992)

The regular n -simplex S_n circumscribing B_n contains an i -dimensional ball of radius $r_i(S_n) = \sqrt{n(n+1)/i(i+1)}$ in each of its i -faces.



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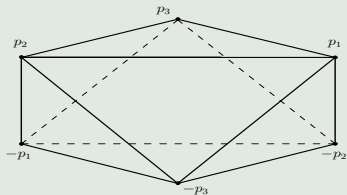
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$$P_\varepsilon = \text{conv}\{\pm(1/\sqrt{3}, 1, \varepsilon), \pm(1/\sqrt{3}, -1, \varepsilon), \pm(-2/\sqrt{3}, 0, \varepsilon)\}, \varepsilon > 0.$$

Triangular antiprism

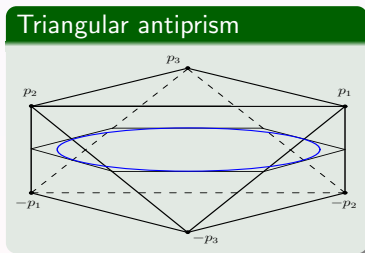


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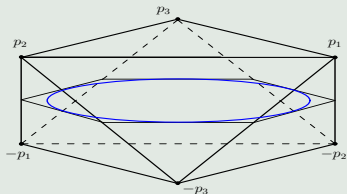
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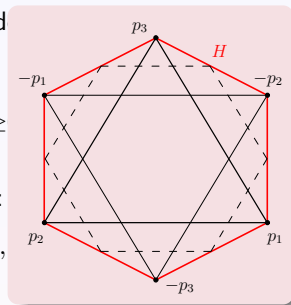
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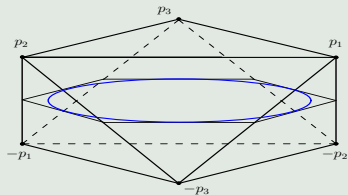
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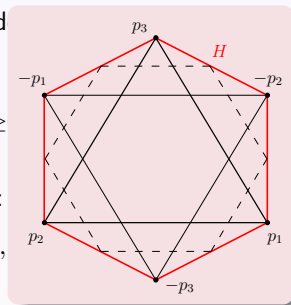
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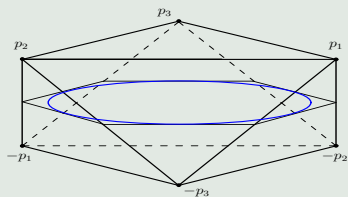
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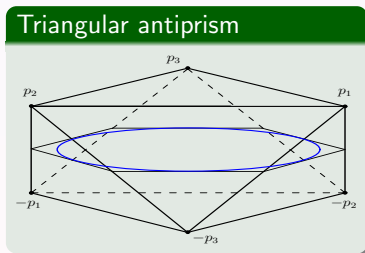
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- $r_i : \{K \in \mathcal{K}^n : \dim K = n\} \rightarrow \mathbb{R}_{\geq 0}$ is continuous.

Theorem (Gritzmann & Klee, 1992)

If K is 0-symmetric,

$$R_j(K)r_j(K^*) = 1.$$



P. Gritzmann, V. Klee: Inner and outer j -radii of convex bodies in finite-dimensional normed spaces, *Discrete Comput. Geom.* **7** (3) (1992).

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Theorem (Pukhov, 1979; Perel'man, 1987)

$$\frac{R_{n-i+1}(K)}{r_i(K)} < i + 1.$$



G. Ya. Perel'man: On the k -radii of a convex body, *Sibirsk. Mat. Zh.* **28** (1987); Pukhov, S. V.: Inequalities for the Kolmogorov and Bernšteĭn widths in Hilbert space, *Mat. Zametki* **25** (1979).

An improvement (Pukhov, 1979)

For K centrally symmetric,

$$\frac{R_{n-i+1}(K)}{r_i(K)} < \sqrt{e} \min \left\{ \sqrt{i}, \sqrt{n-i+1} \right\}.$$

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The volume and the radii

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U. Betke, M. Henk: Estimating sizes of a convex body by successive diameters and widths, *Mathematika* **39** (1992), 247-257

Theorem

Let $K \in \mathcal{K}^n$. Then:

$$\frac{2^n}{n!} r_1(K) \cdots r_n(K) \leq \text{vol}(K) \leq 2^n R_1(K) \cdots R_n(K),$$
$$\kappa_n \bar{r}_1(K) \cdots \bar{r}_n(K) \leq \text{vol}(K) \leq \kappa_n \bar{R}_1(K) \cdots \bar{R}_n(K).$$

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—, M. Henk: Intrinsic volumes and successive radii *J. Math. Anal. Appl.* **343** (2008)

We generalized these inequalities to the **intrinsic volumes**.

Successive radii and Minkowski addition

For $K, K' \in \mathcal{K}^n$, it is well known that

$$r(K + K') \geq r(K) + r(K'), \quad R(K + K') \leq R(K) + R(K'),$$

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which can be rewritten in terms of the successive radii:

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How do the other successive radii relate with the Minkowski sum?



B. González, —: Successive radii and Minkowski addition, to appear in *Monatsh. Math.* (2011).

Theorem: Let $K, K' \in \mathcal{K}^n$.

- If $1 \leq i \leq n - 1$, then $\sqrt{2}r_i(K + K') \geq r_i(K) + r_i(K')$.



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- If $2 \leq i \leq n$, then $\sqrt{2}R_i(K + K') \geq R_i(K) + R_i(K')$.

All inequalities are best possible: equality e.g. when K, K' are the

unit $\left\{ \begin{array}{l} i\text{-balls} \\ (n - i + 1)\text{-balls} \end{array} \right\}$ of particular $\left\{ \begin{array}{l} i\text{-planes} \\ (n - i + 1)\text{-planes} \end{array} \right\}$.

Successive radii and Minkowski addition

For $1 \leq i \leq n-1$

$$\sqrt{2}r_i(K + K') \geq r_i(K) + r_i(K')$$

$$r_n(K + K') \geq r_n(K) + r_n(K')$$

For $2 \leq i \leq n$

$$R_1(K + K') \geq R_1(K) + R_1(K')$$

$$\sqrt{2}R_i(K + K') \geq R_i(K) + R_i(K')$$

Successive radii and Minkowski addition

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Opposite inequality $i = 2, \dots, n$?

For $2 \leq i \leq n$

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Opposite inequality $i = 1, \dots, n-1$?

Successive radii and Minkowski addition

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Opposite inequality ~~X~~ $i = 1, \dots, n-1$?

Proposition

There exists no constant $C > 0$ such that for $2 \leq i \leq n$,

$$Cr_i(K + K') \leq r_i(K) + r_i(K').$$

There exists no constant $C > 0$ such that for $1 \leq i \leq n-1$,

$$CR_i(K + K') \leq R_i(K) + R_i(K').$$

Successive radii and Minkowski addition

Finally we consider the radii \bar{r}_i and \bar{R}_i .

$$\bar{r}_1(K + K') \geq \bar{r}_1(K) + \bar{r}_1(K')$$

$\vdots ?$

$$\bar{r}_n(K + K') \geq \bar{r}_n(K) + \bar{r}_n(K')$$

$$\bar{R}_1(K + K') \leq \bar{R}_1(K) + \bar{R}_1(K')$$

$\vdots ?$

$$\bar{R}_n(K + K') \leq \bar{R}_n(K) + \bar{R}_n(K')$$

Successive radii and Minkowski addition

Finally we consider the radii \bar{r}_i and \bar{R}_i .

Theorem: Let $K, K' \in \mathcal{K}^n$.

For all $1 \leq i \leq n$,

$$\bar{r}_i(K + K') \geq \bar{r}_i(K) + \bar{r}_i(K'),$$

$$\bar{R}_i(K + K') \leq \bar{R}_i(K) + \bar{R}_i(K').$$

Equality e.g. when $K = K' = B_n$.

$$\bar{r}_1(K + K') \geq \bar{r}_1(K) + \bar{r}_1(K')$$

$$\bar{r}_i(K + K') \geq \bar{r}_i(K) + \bar{r}_i(K')$$

$$\bar{r}_n(K + K') \geq \bar{r}_n(K) + \bar{r}_n(K')$$

$$\bar{R}_1(K + K') \leq \bar{R}_1(K) + \bar{R}_1(K')$$

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Successive radii and Minkowski addition

Finally we consider the radii \bar{r}_i and \bar{R}_i .

Theorem: Let $K, K' \in \mathcal{K}^n$.

For all $1 \leq i \leq n$,

$$\bar{r}_i(K + K') \geq \bar{r}_i(K) + \bar{r}_i(K'),$$

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Opposite inequality for $i = 1, \dots, n$?

Successive radii and Minkowski addition

Proposition

There exists no constant $C > 0$ such that for $1 \leq i \leq n$

$$C \bar{r}_i(K + K') \leq \bar{r}_i(K) + \bar{r}_i(K').$$

$$\bar{r}_1(K + K') \geq \bar{r}_1(K) + \bar{r}_1(K')$$

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Successive radii and Minkowski addition

Proposition

There exists no constant $C > 0$ such that for $1 \leq i \leq n$

$$C \bar{r}_i(K + K') \leq \bar{r}_i(K) + \bar{r}_i(K').$$

However, there exists a constant $C_{n,i} > 0$ such that for $1 \leq i \leq n$

$$C_{n,i} \bar{R}_i(K + K') \leq \bar{R}_i(K) + \bar{R}_i(K').$$

$$\bar{r}_1(K + K') \geq \bar{r}_1(K) + \bar{r}_1(K')$$

$$\bar{r}_i(K + K') \geq \bar{r}_i(K) + \bar{r}_i(K')$$

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$$\bar{R}_1(K + K') \leq \bar{R}_1(K) + \bar{R}_1(K')$$

$$\bar{R}_i(K + K') \leq \bar{R}_i(K) + \bar{R}_i(K')$$

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Opposite inequality for $i = 1, \dots, n$?

Successive radii and Minkowski addition

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There exists no constant $C > 0$ such that for $1 \leq i \leq n$

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However, there exists a constant $C_{n,i} > 0$ such that for $1 \leq i \leq n$

$$C_{n,i} \bar{R}_i(K + K') \leq \bar{R}_i(K) + \bar{R}_i(K').$$

(We know $C_{n,1} = \sqrt{2}$ and $\sqrt{2} < C_{n,i} < 2$, $i > 1$).

$$\bar{r}_1(K + K') \geq \bar{r}_1(K) + \bar{r}_1(K')$$

$$\bar{r}_i(K + K') \geq \bar{r}_i(K) + \bar{r}_i(K')$$

$$\bar{r}_n(K + K') \geq \bar{r}_n(K) + \bar{r}_n(K')$$

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Opposite inequality for $i = 1, \dots, n$?

New outer and inner successive radii

Classical successive outer and inner radii

For $K \in \mathcal{K}^n$ and $i = 1, \dots, n$ let

$$\begin{aligned} R_i(K) &= \min_{L \in \mathcal{L}_i^n} R(K|L), & r_i(K) &= \max_{L \in \mathcal{L}_i^n} \max_{x \in L^\perp} r(K \cap (x + L); x + L), \\ \bar{R}_i(K) &= \max_{L \in \mathcal{L}_i^n} R(K|L), & \bar{r}_i(K) &= \min_{L \in \mathcal{L}_i^n} \max_{x \in L^\perp} r(K \cap (x + L); x + L). \end{aligned}$$

New outer and inner successive radii

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For $K \in \mathcal{K}^n$ and $i = 1, \dots, n$ let

$$\begin{aligned} R_i(K) &= \min_{L \in \mathcal{L}_i^n} R(K|L), & r_i(K) &= \max_{L \in \mathcal{L}_i^n} r(K|L; L), \\ \bar{R}_i(K) &= \max_{L \in \mathcal{L}_i^n} R(K|L), & \bar{r}_i(K) &= \min_{L \in \mathcal{L}_i^n} r(K|L; L). \end{aligned}$$

New outer and inner successive radii

We define:

$$\tilde{R}_i(K) = \int_{\mathcal{L}_i^n} R(K|L) d\sigma(L), \quad \tilde{r}_i(K) = \int_{\mathcal{L}_i^n} r(K|L) d\sigma(L).$$

$\sigma(L)$ is the Haar measure on \mathcal{L}_i^n such that $\sigma(\mathcal{L}_i^n) = 1$.

Classical successive outer and inner radii

For $K \in \mathcal{K}^n$ and $i = 1, \dots, n$ let

$$R_i(K) = \min_{L \in \mathcal{L}_i^n} R(K|L), \quad r_i(K) = \max_{L \in \mathcal{L}_i^n} r(K|L; L),$$
$$\bar{R}_i(K) = \max_{L \in \mathcal{L}_i^n} R(K|L), \quad \bar{r}_i(K) = \min_{L \in \mathcal{L}_i^n} r(K|L; L).$$

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$$\tilde{R}_i(K) = \int_{\mathcal{L}_i^n} R(K|L) d\sigma(L), \quad \tilde{r}_i(K) = \int_{\mathcal{L}_i^n} r(K|L) d\sigma(L).$$

Some properties:

- $\tilde{R}_i, \tilde{r}_i : \mathcal{K}^n \longrightarrow \mathbb{R}_{\geq 0}$ are continuous.

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Some properties:

- $\tilde{R}_i, \tilde{r}_i : \mathcal{K}^n \rightarrow \mathbb{R}_{\geq 0}$ are continuous.

- $\tilde{r}_n(K) \leq \dots \leq \tilde{r}_1(K) \qquad \tilde{R}_1(K) \leq \dots \leq \tilde{R}_n(K)$

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Some properties:

- $\tilde{R}_i, \tilde{r}_i : \mathcal{K}^n \rightarrow \mathbb{R}_{\geq 0}$ are continuous.
- $r(K) = \tilde{r}_n(K) \leq \dots \leq \tilde{r}_1(K) = \frac{b(K)}{2} = \tilde{R}_1(K) \leq \dots \leq \tilde{R}_n(K) = R(K)$

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Regarding Minkowski addition:

- $\tilde{R}_1(K + K') = \tilde{R}_1(K) + \tilde{R}_1(K'), \quad \tilde{r}_1(K + K') = \tilde{r}_1(K) + \tilde{r}_1(K')$

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- $\tilde{R}_1(K + K') = \tilde{R}_1(K) + \tilde{R}_1(K'), \quad \tilde{r}_1(K + K') = \tilde{r}_1(K) + \tilde{r}_1(K')$
- $\tilde{R}_i(K + K') \leq \tilde{R}_i(K) + \tilde{R}_i(K'), \quad \tilde{r}_i(K + K') \geq \tilde{r}_i(K) + \tilde{r}_i(K'), \quad 2 \leq i \leq n$

New outer and inner successive radii

We define:

$$\tilde{R}_i(K) = \int_{\mathcal{L}_i^n} R(K|L) d\sigma(L), \quad \tilde{r}_i(K) = \int_{\mathcal{L}_i^n} r(K|L) d\sigma(L).$$

Some properties:

- $\tilde{R}_i, \tilde{r}_i : \mathcal{K}^n \rightarrow \mathbb{R}_{\geq 0}$ are continuous.
- $r(K) = \tilde{r}_n(K) \leq \dots \leq \tilde{r}_1(K) = \frac{b(K)}{2} = \tilde{R}_1(K) \leq \dots \leq \tilde{R}_n(K) = R(K)$

Regarding Minkowski addition:

- $\tilde{R}_1(K + K') = \tilde{R}_1(K) + \tilde{R}_1(K')$, $\tilde{r}_1(K + K') = \tilde{r}_1(K) + \tilde{r}_1(K')$
- $\tilde{R}_i(K + K') \leq \tilde{R}_i(K) + \tilde{R}_i(K')$, $\tilde{r}_i(K + K') \geq \tilde{r}_i(K) + \tilde{r}_i(K')$, $2 \leq i \leq n$
- **There exists no** $C > 0$ with $C \tilde{r}_i(K + K') \leq \tilde{r}_i(K) + \tilde{r}_i(K')$.
- **There exists** $C_{n,i} > 0$ with $C_{n,i} \tilde{R}_i(K + K') \leq \tilde{R}_i(K) + \tilde{R}_i(K')$.

New outer and inner successive radii

We define:

$$\tilde{R}_i(K) = \int_{\mathcal{L}_i^n} R(K|L) d\sigma(L), \quad \tilde{r}_i(K) = \int_{\mathcal{L}_i^n} r(K|L) d\sigma(L).$$

Some properties:

- $\tilde{R}_i, \tilde{r}_i : \mathcal{K}^n \rightarrow \mathbb{R}_{\geq 0}$ are continuous.
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Regarding the volume:

- $\text{vol}(K) \leq \kappa_n \tilde{R}_1(K) \dots \tilde{R}_n(K).$

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We define:

$$\tilde{R}_i(K) = \int_{\mathcal{L}_i^n} R(K|L) d\sigma(L), \quad \tilde{r}_i(K) = \int_{\mathcal{L}_i^n} r(K|L) d\sigma(L).$$

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- $r(K) = \tilde{r}_n(K) \leq \dots \leq \tilde{r}_1(K) = \frac{b(K)}{2} = \tilde{R}_1(K) \leq \dots \leq \tilde{R}_n(K) = R(K)$

Regarding the volume:

- $\text{vol}(K) \leq \kappa_n \tilde{R}_1(K) \dots \tilde{R}_n(K)$.
- We believe that $\text{vol}(K) \geq \kappa_n \tilde{r}_1(K) \dots \tilde{r}_n(K)$.

New outer and inner successive radii

We define:

$$\tilde{R}_i(K) = \int_{\mathcal{L}_i^n} R(K|L) d\sigma(L), \quad \tilde{r}_i(K) = \int_{\mathcal{L}_i^n} r(K|L) d\sigma(L).$$

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- $\tilde{R}_i, \tilde{r}_i : \mathcal{K}^n \rightarrow \mathbb{R}_{\geq 0}$ are continuous.
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Regarding the volume:

- $\text{vol}(K) \leq \kappa_n \tilde{R}_1(K) \dots \tilde{R}_n(K)$.
- We believe that $\text{vol}(K) \geq \kappa_n \tilde{r}_1(K) \dots \tilde{r}_n(K)$.
- We have shown the weaker inequality

$$\text{vol}(K) \geq \kappa_n \tilde{r}_{n-1}(K)^{n-1} \tilde{r}_n(K).$$

Successive radii of convex bodies

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